

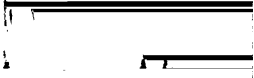


United Nations  
Educational, Scientific and  
Cultural Organization

Organisation  
des Nations Unies  
pour l'éducation,  
la science et la culture

Organización  
de las Naciones Unidas  
para la Educación,  
la Ciencia y la Cultura

Организация  
Объединенных Наций по  
вопросам образования,  
науки и культуры



منظمة الأمم المتحدة  
للتربية والعلم والثقافة

## Diversity of Cultural Expressions

4 CP

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**Item 1 of the agenda: Election of Chairperson, Vice-Chairperson(s) and Rapporteur of the Conference of Parties**

**Resolution 4.CP 1**

*The Conference of Parties,*

1. *Elects Ms Samira Al-Moosa (Oman) Chairperson of the Conference of Parties;*
2. *Elects Ms Giselle Dupin (Brazil) Rapporteur of the Conference of Parties;*
3. *Elects Burkina Faso, France, Serbia and Viet Nam Vice-Chairpersons of the Conference of Parties.*

**Item 2 of the agenda: Adoption of the agenda**

**Resolution 4.CP 2**

*The Conference of Parties,*

1. *Having examined the document CE/13/4.CP/2;*
2. *Adopts the Agenda included in the above-mentioned document as amended.*

**Item 3 of the agenda: Approval of the list of observers**

**Resolution 4.CP 3**

*The Conference of Parties,*

1. *Having examined the list of observers;*
2. *Approves the list of observers.*

**Item 4 of the agenda: Adoption of the summary record of the third ordinary session of the Conference of Parties**

**Resolution 4.CP 4**

*The Conference of Parties,*

1. *Having examined document CE/13/4.CP/4 and its Annex;*
2. *Adopts the summary record of the third ordinary session of the Conference of Parties to the Convention on the Protection and Promotion of the Diversity of Cultural Expressions annexed to the above-mentioned document.*

**Item 6 of the agenda: Report of the Committee on its activities and decisions to the Conference of Parties**

**Resolution 4.CP 6**

*The Conference of Parties,*

1. *Having examined document CE/13/4.CP/6 and its Annex;*
2. *Takes note of the report of the Committee on its activities and decisions submitted to the Conference of Parties and included in this document.*

**Item 7 of the agenda: Secretariat's report on its activities**

**Resolution 4.CP 7**

**Item 8 of the agenda: Secretariat's report on the International Fund for Cultural Diversity (IFCD)**

**Resolution 4.CP 8**

*The Conference of Parties,*

1. *Having examined document CE/13/4.CP/8 and information documents CE/13/4.CP/INF.5 on the fundraising and communication strategy and CE/13/4.CP/INF.6 on the Internal Oversight Service (IOS) evaluation on the pilot phase of the IFCD along with an update on the implementation of the IOS recommendations;*
2. *Takes note of the summary of activities and approaches implemented during the pilot phase of the IFCD;*
3. *Recognizes that progress during tg3-5.5(ring ot )J0tetth-5.2( IF5.6(C)-7.-7.7,)-5c(e)-duat5.6d-5.6(t)8.4*

**Item 9 of the agenda: Approval of the operational guidelines for the implementation of the Convention**

**Resolution 4.CP 9**

*The Conference of Parties,*

1. *Having examined* document CE/13/4.CP/9 and its Annex;
2. *Recalling* Resolution 3.CP 11;
3. *Approves* the following Operational Guidelines, as annexed to this Resolution:
  - *Revised Guidelines on the use of the resources of the International Fund for Cultural Diversity (IFCD);*
  - *Operational Guidelines on the use of the emblem of the Convention on the Protection and Promotion of the Diversity of Cultural Expressions as amended.*

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**ANNEX to Resolution 4.CP 9**

**Revised Guidelines on the use of the resources of the International Fund for Cultural Diversity (IFCD)**

**Strategic considerations and objectives**

1. The purpose of the International Fund for Cultural Diversity (IFCD) is to finance projects and activities approved by the Intergovernmental Committee (herein after “the Committee”) on the basis of guidelines determined by the Conference of Parties, notably to facilitate international cooperation for sustainable development and poverty reduction to foster the emergence of dynamic cultural sectors in developing countries<sup>1</sup>, in accordance with Article 14 of the Convention (Article 3 of the Financial Regulations of the IFCD).
2. The main objective of the IFCD is to invest in projects that lead to structural change through the introduction and/or elaboration of policies and strategies that have a direct effect on the creation, production, distribution of and access to a diversity of cultural expressions, including cultural goods, services and activities, as well as through the reinforcement of institutional infrastructures deemed necessary to support viable cultural industries at the local and regional levels.
3. IFCD projects demonstrate the value and opportunities that the cultural industries bring to sustainable development processes, in particular to economic growth and the promotion of a decent quality of life.
4. The IFCD is managed as a Special Account pursuant to Article 1.1 of its Financial Regulations and, given its multi-donor nature, cannot receive tied or earmarked contributions.
5. Use of the IFCD’s resources must be consistent with the spirit and provisions of the Convention. Pursuant to Article 18.3(a) and 18.7, Parties shall endeavour to provide voluntary contributions on an annual basis. The Committee encourages Parties to provide contributions on an annual basis, the

<sup>1</sup> Parties to the UNESCO 2005 Convention on the Protection and the Promotion of the Diversity of Cultural Expressions that are recognized by UNCTAD as developing economies, economies in transition and least developed countries.

amount being at least equal to 1% of their contribution to the UNESCO budget. The resources of the IFCD will be used to fund projects in developing countries. Public development aid which is not tied may be used to fund the activities of the IFCD for projects approved by the Committee in accordance with the provisions governing UNESCO Special Accounts.

6. In managing the IFCD, the Committee shall ensure that the use of the resources:

6.1 meets the programmatic and strategic priorities established by the Committee;

6.2 meets the needs and priorities of beneficiary developing countries;

6.3 promotes South-South and North-South-South cooperation;

6.4 contributes to achieving concrete and sustainable results as well as structural impacts in the cultural field;

6.5 respects the principle of ownership by the beneficiaries;

6.6 respects, to the extent possible, an equitable geographical distribution of the resources of the IFCD and gives priority to Parties who have not yet benefited or who have benefited the least from these resources;

6.7 satisfies the principle of financial accountability, as understood within the United Nations system;

6.8 satisfies the need for funds to be spent principally on project activities and ensuring minimum overhead costs as referred to in paragraph 15.7;

6.9 avoids spreading resources too thinly or supporting sporadic activities;

6.10 promotes gender equality;

6.11 promotes the participation of various social groups as identified in Article 7 of the Convention in the creation, production, dissemination, distribution and enjoyment of diverse cultural expressions;



### **Funding and submission ceilings**

11. Regarding the funding and submission ceilings, the following shall be taken into consideration:

11.1 the maximum amount requested from the IFCD for each project is US\$100,000;

11.2 the project implementation period can be between 12 and 24 months;

11.3 National Commissions or other official channels designated by Parties can forward a maximum of four applications per funding cycle, maximum two per Party (public authority/institution) and maximum two from NGOs;

11.4 Each INGO may present a maximum of two applications per funding cycle with written support from beneficiary countries.

### **Pre-selection process at the country level**

12. Regarding the pre-selection process at the country level, the following shall be taken into consideration:

12.1 National Commissions or other official channels designated by the Parties shall launch a call for funding requests within their countries, setting appropriate deadlines that take into account the submission deadlines communicated by the Secretariat;

12.2 National Commissions or other official channels designated by the Parties shall form a pre-selection panel made up of, in particular, Ministries of Culture and/or other Ministries responsible for the cultural industries, and members of civil society organizations specializing in the field of culture to evaluate and pre-select projects to be submitted to the Secretariat;

12.3 the pre-selection panel is to undertake an assessment on how the projects are relevant, meet the country's needs and priorities, and have been subject to consultation among stakeholders.

### **Procedure for the submission of funding requests**

13. Regarding the procedure for the submission of funding requests, the following shall be taken into consideration:

13.1 the Secretariat shall launch the call for funding requests in January each year. All funding requests will be received by the Secretariat by 15 May at the latest. Those received after the deadline are considered ineligible;

13.2 funding requests by Parties and NGOs are submitted to the Secretariat through the National Commissions or other official channels designated by the Parties, that ensure projects are relevant and meet the country's needs and priorities;

13.3 funding requests by INGOs are submitted directly to the Secretariat with written support from the beneficiaries concerned to ensure projects are relevant and meet the beneficiary's needs and priorities. Funding requests by INGOs are submitted on a separate form and must demonstrate a sub-regional, regional or inter-regional impact;

13.4 upon receiving the requests, the Secretariat will perform a technical assessment to ensure that the applications are complete, fall within the areas of intervention of the IFCD and therefore are eligible. Upon completion, the Secretariat will forward eligible project files to the members of the Panel of Experts for evaluation.





16.5 Each project application should be assessed by two experts using the evaluation forms provided by the Secretariat. No expert should assess a project from his/her country.

### **Recommendations by the Panel of Experts**

17. The Panel of Experts shall undertake an evaluation of funding requests it receives from the Secretariat, using the official evaluation tools and taking into account the overall objectives of the IFCD.

17.1 The Panel of Experts can recommend to the Committee:

17.1.1 a list of projects to be funded within the limits of the funds available;

17.1.2 only projects that receive at least 75% of the maximum number of points attributable;

17.1.3 only one project per beneficiary;

17.1.4 if applicable, adjustment of the funds requested for projects and activities from the IFCD with accompanying explanation(s).

17.2 The Secretariat shall make available online four weeks before the Committee session all project files, their evaluation and recommendation by the Panel of Experts.

### **Decision-making of the Committee**

18. The Committee shall examine and approve projects at its ordinary session.

19. To facilitate the decision-making of the Committee, the recommendations of the Panel of Experts will be accompanied by a detailed presentation, including:

19.1 a brief summary of the project presented in the request;

19.2 the potential impact and expected results;

19.3 an opinion on the amount to be financed from the IFCD;

19.4 the relevance/appropriateness of the project to the objectives of the IFCD as well as to the areas of intervention of the IFCD;

19.5 an assessment of the feasibility of the project proposed, the relevance and effectiveness of its modalities of execution, as well as the expected structural impacts, where appropriate;

19.6 an analysis of the sustainability of the project, reflecting the level of ownership demonstrated by the beneficiaries, plans for longer-term expected results that go beyond the output level, as well as the potential of projects to produce structural effects or

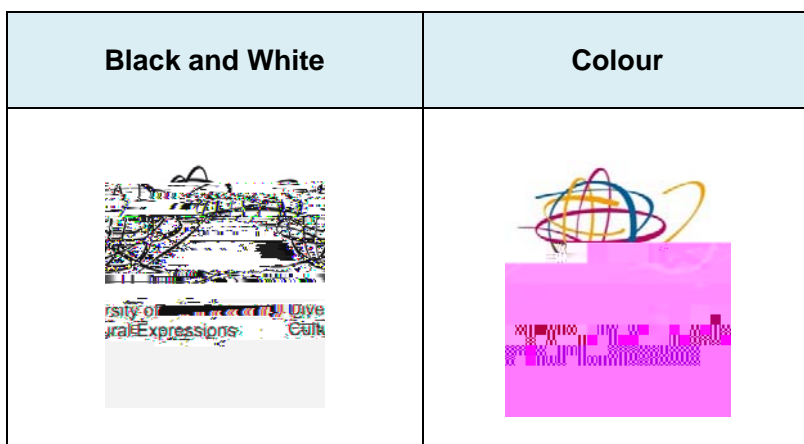
**Monitoring**

20. UNESCO shall develop a systemic and risk-based project monitoring system with adequate human and financial resources to identify and address project implementation challenges and to ensure project sustainability. This monitoring system 5.6(s)-tshumanssureobansusiv-o8(M-2.6(AR)-)-8(T.3(o)6.826

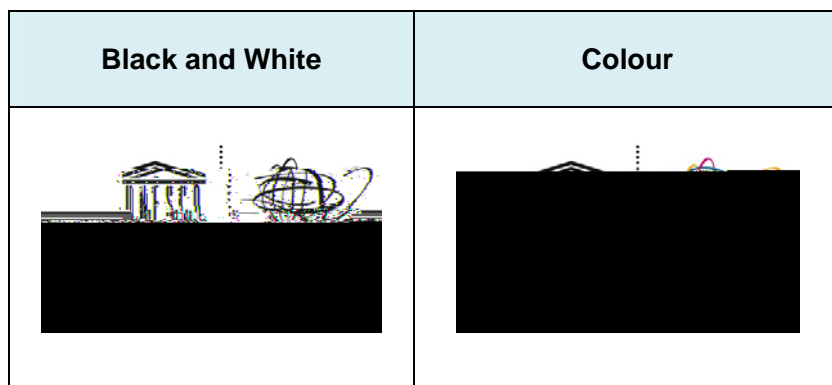
5. The use of the linked emblem is governed both by the present Guidelines and by the *Directives concerning the Use of the Name, Acronym, Logo and Internet Domain Names of UNESCO*, as adopted by the General Conference of UNESCO<sup>4</sup>. The use of the linked emblem must therefore be authorized both under the present Guidelines and under the *Directives concerning the Use of the Name, Acronym, Logo and Internet Domain Names of UNESCO* (for the part of the UNESCO logo) in accordance with the procedures provided in their respective Guidelines.

## II. Graphic design of stand-alone and linked emblems

6. The stand-alone emblem, which is used as the official seal of the Convention, is shown below:



7. The linked emblem is shown below:



## III. Rights of use of the emblem

8. The following have the right to use the stand-alone emblem without prior authorization, subject to the rules set out by the present Guidelines:
- (a) the statutory organs of the Convention:
    - i) the Conference of Parties;

<sup>4</sup> The most recent version of the *Directives concerning the Use of the Name, Acronym, Logo and Internet Domain Names of UNESCO* is found in the annex to Resolution 86 of the 34<sup>th</sup> session of the General Conference (Resolution 34C/86) or at <http://unesdoc.unesco.org/images/0015/001560/156046e.pdf>.

ii) the Intergovernmental Committee for the Protection and the Promotion of the Diversity of Cultural Expressions (hereinafter “Committee”); and

(b) the UNESCO Secretariat of the 2005 Convention (hereinafter “Secretariat”).

9. All others desiring the right to use the emblem must request and receive authorization pursuant to the procedures set forth below.

#### **IV. Graphical standards**

10. Both the stand-alone and linked emblems can be used in the six official languages of UNESCO. They shall be reproduced according to the specific graphical charter and a Brand Tool Kit elaborated by the Secretariat and published on the Convention’s website and shall not be altered.

11. Use of languages other than those six official languages of UNESCO in the stand-alone and linked emblems must be approved by UNESCO, prior to their use.

#### **V. Authorization procedure for use of the stand-alone emblem**

12. Authorizing the use of the stand-alone emblem is the prerogative of the Conference of Parties and/or the Committee, and the authorization may be granted by either one.

13. The Conference of Parties and the Committee delegate the authority to grant the use of the stand-alone emblem to the Secretariat.

14. The decision authorizing the use of the stand-alone emblem shall be evaluated based on the following criteria:

stand-alone emblem and a Brand Tool Kit. The concerned National Commissions or other designated national authorities and Permanent Delegations will be informed.

- (e) Step 5: The Secretariat will prepare and submit a report to the Committee and the Conference of Parties at each of their sessions, on the use of the emblem.

## **VI. Authorization of the linked emblem**

17. The Director-General is empowered to authorize the use of the linked emblem in connection with patronage and contractual arrangements as well as specific promotional activities.
18. **Patronage** may be given as UNESCO's moral endorsement of an activity for which the Organization is not directly involved, is not providing financial support, or cannot be held legally responsible. Patronage is limited in time and can be granted to one-off activities that are international, regional and national in scope and that present a large diversity of cultural expressions and that involve artists, cultural producers, policy makers and/or civil society. These activities may include performances, exhibitions, cultural industry festivals and trade fairs for example in the film, book or music sectors. Patronage may also be granted for one-off audio-visual productions or publications (printed or electronic), or public events such as conferences or meetings.
19. Request for the use of the linked emblem for the purpose of patronage must be submitted to the Director-General of UNESCO along with an endorsement of National Commissions for UNESCO of the Party or Parties, or other national authorities duly designated by respective Parties, in whose territory the planned activities are to be held.
20. **Projects receiving support from the International Fund for Cultural Diversity** (hereinafter "IFCD-funded projects") are those that are approved by the Committee for IFCD funding.
21. After the approval of the Committee of the IFCD-funded projects, it is only through signing of the "Intergovernmental Body Allocation Contract" with UNESCO that the linked emblem can be used in the framework of the implementation of the IFCD-funded projects according to conditions of use stipulated in the contract.
22. **Partnership agreements** are negotiated between UNESCO and partners such as public sector institutions, private sector or civil society to carry out defined activities that advance the objectives and principles of the Convention and its implementation on the international, regional, national and/or local levels.
23. Use of the linked emblem within partnership agreements shall be authorized by the UNESCO Secretariat.
24. **Fundraising activities** encompass activities undertaken by Convention stakeholders (public, private and civil society) whose sole objective is to raise donations for the IFCD.
25. Use of the linked emblem for fundraising activities shall be authorized by the UNESCO Secretariat.
26. **Commercial use** is the sale of goods or services bearing the name, acronym, logo or internet domain names of UNESCO chiefly for profit.
27. Requests for commercial use of the linked emblem including those received by National Commissions or other national authorities duly designated shall be sent to the Director-General of UNESCO for written approval.

## **VII. Donation to the IFCD through the commercial use of the emblem**

28. When profit is generated through commercial use of the emblem, the contribution of a percentage of the profit to the IFCD is obligatory.

29. Contributions to the IFCD shall be governed in accordance with the Financial Regulations of the Special Account for the IFCD.

### VIII. Protection

30. To the extent that the name, acronym and logo of UNESCO have been notified and accepted by the Paris Union Member States under Article 6ter of the Paris Convention for the Protection of Industrial Property, adopted in 1883 and revised at Stockholm in 1967, and to the extent that the emblem of the Convention [has been submitted] to the International Bureau of the World Intellectual Property Organization (WIPO) and [has been notified and accepted] by the Paris Union Member States under Article 6ter of the Paris Convention for the Protection of Industrial Property, adopted in 1883 and revised at Stockholm in 1967, UNESCO has recourse to the Paris Convention Member States' domestic systems to prevent the emblem of the Convention and the name, acronym or logo of UNESCO from being used where such use falsely suggests a connection with the Convention or UNESCO, or any other abusive use.
31. The Parties are invited to submit to UNESCO the names and addresses of the authorities in charge of managing the use of the emblem.
32. In specific cases, the statutory organs of the Convention may request the Director-General of UNESCO to monitor the proper use of the emblem of the Convention and to initiate proceedings, where appropriate, in case of abusive use.
33. The Director-General of UNESCO is responsible for instituting proceedings in the event of unauthorized use of the emblem of the Convention at the international level. Parties to the Convention should take all possible measures to prevent the use of the emblem in their respective countries by any group or for any purpose not explicitly recognized by the Statutory organs of the Convention.
34. The Secretariat and the Parties cooperate closely in order to prevent, in conjunction with competent national bodies and in line with the present Guidelines, any unauthorized use of the emblem of the Convention at the national level.

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### **Item 10 of the agenda: Analytical summary of the first quadrennial periodic reports of Parties to the Convention**

#### **Resolution 4.CP 10**

*The Conference of Parties,*

1. *Having examined document CE/13/4.CP/10 and its Annex, as well as information documents CE/13/4.CP/INF.7, CE/13/4.CP/INF.8 and CE/13/4.CP/INF.9;*
2. *Recalling its Resolutions 3.CP 7 and 3.CP 10 and the Decisions 5.IGC 4 and 6.IGC 4 of the Committee;*
3. *Takes note of the Secretariat's analytical summary as presented in Annex to document CE/13/4.CP/10;*
4. *Also takes note of the comments of the Committee, as outlined in document CE/13/4.CP/10, highlighting important implementation-related issues which have not yet been sufficiently addressed through the reporting exercise;*

5. Decides that the Parties that ratified the Convention in 2010 shall submit their first quadrennial periodic reports to the Secretariat before 30 April 2014 and that



5. *Requests the Committee to continue its work on the implementation of Article 21, taking into account its discussions and resolutions at the fourth ordinary session, and to communicate the results thereof at its fifth ordinary session;*
6. *Further requests the Committee to debate and analyse the information on the implementation of Article 21 and report to the fifth session of the Conference of Parties on the impact of its implementation;*
7. *Requests the Secretariat to actively continue its work on this matter, including the development of the database by sending a biennial invitation to the Parties to fill in the questionnaire.*

## **Item 12 of the agenda: Selection of an emblem for the Convention**

### **Resolution 4.CP 12**

*The Conference of Parties,*

1. *Having examined document CE/13/4.CP/12 and its Annexes;*
2. *Recalling its Resolution 3.CP 11 and Decisions 3.IGC 6, 5.IGC 9, as well as 6.IGC 12 of the Committee;*
3. *Selects the option 4 inserted below to serve as the emblem of the Convention;*

Linked emblem		Stand-alone emblem	
Black and White	Colour	Black and White	Colour

4. *Decides that the emblem of the Convention can be used independently as a stand-alone emblem or be used together with the UNESCO logo (linked emblem) as stipulated in the Operational Guidelines on the use of the emblem of the Convention;*
5. *Recalls that the statutory organs of the Convention, i.e. the Conference of Parties and the Committee, as well as the UNESCO Secretariat of the Convention, have the sole right to use the emblem of the Convention without prior authorization, and that any others wishing to use the emblem must submit a request form to the Secretariat following the procedure set out in the Operational Guidelines on the use of the emblem of the Convention;*

6. Requests the Secretariat to ensure the emblem's protection under Article 6 ter of the Paris Convention for the Protection of Industrial Property, specifically by requesting the World Intellectual Property Organization to communicate the emblem to the countries of the Union for the Protection of Industrial Property.

Item 13 of the agenda: Future activities of the Committee

Resolution 4.CP 13

The Conference of Parties,

1. Having examined document CE/13/4.CP/13;
2. Takes note of information documents CE/13/4.CP/INF.4, CE/13/4.CP/INF.5 and CE/13/4.CP/INF.6;
3. Takes note also of Decisions 6.IGC 4, 6.IGC 5 and 6.IGC 17 of the Committee;
4. Requests the Committee to establish at its seventh session a work plan for its activities including a rough indication of timelines, to the extent possible, based on the human and financial resources available from both of the Regular Programme and Extrabudgetary Resources;
5. Requests the Committee to continue its work and to submit to it, if need be, for approval at its next session the revised draft operational guidelines on Article 9 of the Convention on the basis of experience gained, in particular in relation to important implementation-related issues which have not yet been sufficiently

*statutory bodies;*

- *the monitoring of the implementation and the assessment of the impact of Article 21;*

8. *Invites the Committee to include an item on the role of Public Service Broadcasting for achieving the objectives of the Convention on the agenda of its seventh ordinary session.*

**Item 14 of the agenda: Election of the members of the Committee**

**Resolution 4.CP 14**

*The Conference of Parties,*

1. *Having examined document CE/13/4.CP/14;*
2. *Decides that, for the purposes of the election of the Members of the Committee at this session, the twelve seats will be distributed among the electoral groups as follows:*
  - *Group I: Austria, United Kingdom of Great Britain and Northern Ireland;*
  - *Group II: Belarus, Lithuania;*
  - *Group III: Saint Lucia, Uruguay;*
  - *Group IV: Afghanistan, Australia;*
  - *Group V(a): Ethiopia, Madagascar;*
  - *Group V(b): Tunisia, United Arab Emirates.*

**Item 15 of the agenda: Other business**

**Resolution 4.CP 15**

*The Conference of Parties,*