

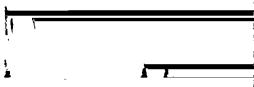


United Nations
Educational, Scientific and
Cultural Organization

Organisation
des Nations Unies
pour l'éducation,
la science et la culture

Organización
de las Naciones Unidas
para la Educación,
la Ciencia y la Cultura

Организация
Объединенных Наций по
вопросам образования,
науки и культуры



منظمة الأمم المتحدة
للتربية والعلم والثقافة

Diversity of Cultural Expressions

3 CP

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**CE/11/3.CP/209/Res.
Paris, 16 June 2011
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CONFERENCE OF PARTIES TO THE CONVENTION ON THE PROTECTION AND PROMOTION OF THE DIVERSITY OF CULTURAL EXPRESSIONS

**Third ordinary session
Paris, UNESCO Headquarters, Room II
14-15 June 2011**

RESOLUTIONS

Item 1 of the agenda: Election of Chairperson, Vice-Chairperson(s) and Rapporteur of the Conference of Parties

Resolution 3.CP 1

The Conference of Parties,

1. Elects Mr Marcelo Vazquez-Bermudez (Ecuador), Chairperson of the Conference of Parties;
2. Elects Mr Haris Pappis (Greece), Rapporteur of the Conference of Parties;
3. Elects

Item 4 of the agenda: Adoption of the summary record of the second ordinary session of the Conference of Parties

Resolution 3.CP 4

The Conference of Parties,

1. *Having examined document CE/11/3.CP/209/4 and its Annex;*
2. *Adopts the summary record of the second ordinary session of the Conference of Parties as amended.*

Item 6 of the agenda: Report of the Committee on its activities and decisions to the Conference of Parties

Resolution 3.CP 6

The Conference of Parties,

1. *Having examined document CE/11/3.CP/209/6 and its Annex;*
2. *Takes note of the report of the Committee on its activities and decisions submitted to the Conference of Parties included in the above-mentioned document.*

Item 7 of the agenda: Approval of the operational guidelines for the implementation of the Convention

Resolution 3.CP 7

The Conference of Parties,

1. *Having examined document CE/11/3.CP/209/7 and its Annex;*
2. *Recalling Resolution 2.CP 7;*
3. *Approves*

Item 8 of the agenda: Pertinence and feasibility of appointing public persons to promote the Convention

Resolution 3.CP 8

The Conference of Parties,

1. *Having examined*

6. Requests the Director-General to examine the scope for increased support for the operation and the implementation of the Convention;
7. Invites all Parties to provide voluntary contributions to the IFCD on a regular basis as stated in paragraph 7 of Article 18 of the Convention.

Item 10 of the agenda: Submission and dissemination of quadrennial periodic reports of the Parties to the Convention

Resolution 3.CP 10

The Conference of Parties,

1. Having examined document CE/11/3.CP/209/10 and its Annex;
2. Adopts the timetable for the submission of quadrennial periodic reports of Parties, as annexed in the above-mentioned document;
3. Decides that Parties that ratified the Convention between 2005 and 2008 shall submit their first quadrennial periodic report to the Secretariat in April 2012 and that those that ratified the Convention in 2009 shall submit their reports in April 2013;
4. Requests the Secretariat to invite Parties that ratified the Convention between 2005 and 2009 to compile their quadrennial periodic reports no later than six months before the deadline set for their submission, as stipulated in paragraph 3 of this resolution;
5. Further requests the Secretariat to draw up a strategic and action-oriented analytical summary of the quadrennial periodic reports received and to submit it to the Committee at its sixth session (December 2012);
6. Invites the Committee to submit to it at its next session the quadrennial periodic reports together with its comments and a content summary, and requests the Secretariat to submit to it the analytical summary of the periodic reports that it has received;
7. Decides that quadrennial periodic reports shall be made available to Parties for information before the Committee session at which the reports are to be considered, and also decides that the reports shall be made available to the public for information after the session of the Committee at which they have been considered.

Item 11 of the agenda: Future activities of the Committee

Resolution 3.CP 11

The Conference of Parties,

1. *Having examined document CE/11/3.CP/209/11;*
2. *Takes note of information document CE/11/3.CP/209/INF.6;*

Item 12 of the agenda: Election of the members of the Committee

Resolution 3.CP 12

The Conference of Parties,

1. *Having examined document CE/11/3.CP/209/12 REV;*
2. *Decides that, for the purposes of the election of the Members of the Committee at the present session, the 12 seats will be distributed among the electoral groups as follows: Group I (2); Group II (2); Group III (3); Group IV (1); Group V(a) (3); Group V(b) (1).*
3. *Elects the following 12 States Parties to the Intergovernmental Committee for the Protection and Promotion of the Diversity of Cultural Expressions for a term of four years from the date of their election:*
Group I: Sweden, Switzerland;

Annex to Resolution 3.CP 7

Measures to ensure the visibility and the promotion of the Convention

Operational guidelines on measures to ensure the visibility and promotion of the Convention

General considerations

1. In order to ensure effective implementation of the Convention, the Parties are encouraged, by all

4.7 carrying out educational activities through the development of targeted programmes, in particular for young people, to facilitate understanding of the Convention;

4.8 carrying out awareness-raising activities wi

8.3 facilitate the organisation of workshops, seminars or conferences in order to raise awareness about the Convention;

8.4 underscore the importance of the Convention in international celebrations, such as the World Day for Cultural Diversity for Dialogue and Development;

8.5 publicize projects and activities carried out in the framework of the Fund.

Coordination of and follow-up on measures to ensure the visibility and promotion of the Convention

9. The Parties are encouraged, through the designated points of contact (articles 9 and 28 of the Convention), or through National Commissions, to implement and monitor activities to promote the Convention, to share information and best practices with each other and to coordinate their efforts at the international level.

Article 9 of the Convention

Operational guidelines on information sharing and transparency (Article 9 of the Convention)

Article 9 – Information sharing and transparency

Parties shall:

- (a) provide appropriate information in their reports to UNESCO every four years on measures taken to protect and promote the diversity of cultural expressions within their territory and at the international level;*
- (b) designate a point of contact responsible for information sharing in relation to this Convention; and*
- (c) share and exchange information relating to the protection and promotion of the diversity of cultural expressions.*

Quadrennial Periodic Reports of the Parties to the Convention

1. Each Party submits, the fourth year following the year in which it deposited its instrument of ratification, acceptance, approval or accession, and every fourth year thereafter, a report to the Conference of Parties for its examination in accordance with Article 22.4 (b).
2. The reports shall provide relevant information on measures Parties have taken to protect and

Ensuring a Participatory Process

7. In conformity with Article 11 of the Convention and the operational guidelines on the role and participation of civil society, Parties ensure the involvement of civil society in the preparation of the reports according to jointly-agreed modalities. The reports shall indicate the way in which civil society participated in the drafting process.
8. Parties can also cooperate with specialized bodies at national, regional and international levels to collect information and data requested in the framework of the quadrennial periodic reports.

Submission and Dissemination of Reports

9. Upon request of the Conference of Parties, the Secretariat invites Parties to prepare their quadrennial periodic reports, at the latest six months before the date set for submission. To this end, the Secretariat refers to the points of contact appointed by the Parties and to the Permanent Delegations to UNESCO as well as the National Commissions for UNESCO.
10. Parties submit reports to the Secretariat on paper and in electronic form in one of the working languages of the Committee (English or French).
11. Upon receipt of the reports of the Parties, the Secretariat will register them and acknowledge their receipt.
12. The Secretariat then forwards to the Committee before each of its ordinary sessions, an analytic summary of the quadrennial periodic reports received as well as the reports themselves. The summary will be strategic and action-oriented. It will indicate transversal issues as well as challenges identified in the reports to be addressed in the future implementation of the Convention.
13. In accordance with Articles 22.4 (b) and 23.6 (c) of the Convention, quadrennial periodic reports will, after deliberation by the Committee, be forwarded to the Conference of Parties for review. These reports will be accompanied by the Committee's comments and content summary.
14. To facilitate the exchange of information relating to the protection and promotion of the diversity of cultural expressions, quadrennial periodic reports will be made available according to the modalities defined by a resolution of the Conference of Parties.

Points of Contact

15. Upon ratification, Parties designate a point of contact responsible for sharing information on the Convention at the national level. If the point of contact changes, the Parties shall notify the Secretariat as soon as possible.
16. Points of contact are communication channels through which information about the Convention can be disseminated to relevant Ministries and public agencies. Points of contact shall be in a position to respond to queries about the Convention from the general public.
17. Parties can request points of contact to participate in gathering relevant information required as input to their quadrennial periodic reports.

ANNEX

Framework for Quadrennial Periodic Reports on Measures to Protect and Promote the Diversity of Cultural Expressions

STRUCTURE

Quadrennial periodic reports (hereafter “reports”) are divided into *four sections* with questions that are to act as guides for those designated to prepare the reports. A suggested number of pages is provided.

Section Number	Heading	Suggested number of pages
	Summary	1
1	General information	0.5
2	Measures	12
3	Awareness-raising and participation of civil society	3
4	Main results achieved and challenges encountered when implementing the Convention	3.5
Annex	Complementary data and information (sources and statistics)	

Guidelines for writing reports

The following guidelines shall be taken into consideration when collecting information and data, and writing reports:

- (i) the number of pages of the periodic reports should not exceed 20, excluding Annexes;
- (ii) declarative statements shall be supported by facts and explanations;
- (iii) information and analysis are to be derived from a variety of sources and be illustrated with examples;
- (iv) long historical accounts are to be avoided.

Technical procedures for submission and follow-up of reports

The following *procedures* shall be respected:

- (i) Parties submit the reports in English or French, the working languages of the Committee, using the Form prepared for this purpose and approved by the Conference of Parties;
- (ii) The report shall conclude with the original signature of the official designated to sign it on behalf of the Party;
- (iii) The original signed version(s) is (are) sent to the following address: UNESCO, Section of the Diversity of Cultural Expressions, 1, rue Miollis 75732 Paris Cedex 15;
- (iv) The reports are also transmitted electronically or on CD-rom. They are prepared in both standard pdf and rtf or doc formats using a font size of 10 or larger.

Summary

Parties shall provide a one page summary of the content in their reports, identifying the main achievements and challenges as well as, where appropriate, an outlook for the future.

The summary is transmitted to the Committee and Conference of Parties in accordance with Articles 22.4 (b) and 23.6 (c) of the Convention.

1. General information

(a) Name of Party

(b) Date of ratification

(c) Ratification process (e.g. parliamentary process)

- (b) How has it been implemented, which public agency(ies) is (are) responsible for its implementation and what resources have been allocated to ensure implementation?
- (c) What challenges have been identified in the implementation of this measure?
- (d) What has been the effect or impact of the policy or measure? What indicators were used to lead to this conclusion?

2.1 Cultural policies and measures

The purpose of this section is to report on cultural policies and measures in place to promote the diversity of cultural expressions at the different stages of creation, production, distribution, dissemination and participation/enjoyment.

Measures may be understood as those that nurture cr

For more information on the types of measures to be reported on, please refer to operational guidelines adopted on Articles 14 and 16.

Parties' Response

Please respond to key questions (a) – (d) above

2.3. Integration of culture in sustainable development policies

Parties are to provide information on how they have involved civil society in activities such as:

- promote the objectives of the Convention through awareness-raising and other activities;
- collect of data and list activities to share and exchange information on measures to protect and promote the diversity of cultural expressions within their territories and at the international level;
- develop policies while providing spaces where their ideas can be heard and discussed;
- implement operational guidelines.

Civil society may provide information on activities they are pursuing, such as:

- promote the objectives and principles of the Convention within their territories and in the international fora;
- promote ratification of the Convention and its implementation by governments;
- bring the concerns of citizens, associations and enterprises to public authorities;
- contribute to the achievement of greater transparency and accountability in the governance of culture;
- monitor policy and programme implementation on measures to protect and promote the diversity of cultural expressions.

4. Main achievements and challenges to the implementation of the Convention

Parties and other participating stakeholders are to share information on:

- i) the results achieved; and
- ii) the challenges encountered when implementing the Convention and solutions found or envisaged to overcome these challenges.

Parties and other participating stakeholders may also wish to share information on next steps they plan to take towards implementation of the Convention and the challenges they foresee to realising their objectives.

Annex: Complementary data and information (sources and statistics)

1. Main sources and links

Please provide references to the main sources of information and data used in compiling this report and that could be of interest to share with other Parties. These could include: recent public cultural policy strategies, reviews or evaluations; latest research or studies that map the cultural sector or cultural industries.

Parties are asked to provide the name, author and web links to the relevant document as well as a maximum 100-word summary in English and/or French if the original language of the document is not in either of these two official working languages of the Committee.

In addition, please indicate the names and contact details of those public or private institutions, agencies or networks in your country who actively contribute to the production of information and knowledge in the fields addressed by the Convention.

2. Reporting on available statistics

The approach taken to the provision of statistical information in the reports is a pragmatic one.

This means that Parties are asked, **to the extent possible**, to provide statistical information that already exists. This data may have been collected through national surveys, mapping studies, etc. They have mostly been published in Tables provided in the Annexes of the UNESCO World Report Investing in Cultural Diversity and Intercultural Dialogue (2009). Suggestions for where data can be found are provided below.

2.1 Demographic context

- a) Population structure
 - Total population
 - Total annual growth rates per 1000s
 - Age structure
- b) Migration
 - Migrant stock as a percentage of the population
 - Emigration
- c) Language and literacy
 - Number of official languages
 - Number of languages spoken
 - Literacy rates

Sources:

- a) National Statistical Offices, Census Data, United Nations Statistical Division Databases (<http://unstats.un.org/unsd/databases.htm>).
- b) UNESCO World Report Investing in Cultural Diversity and Intercultural Dialogue (2009),
- c) UNESCO Institute for Statistics, Literacy Statistics (http://www.uis.unesco.org/ev_en.php?ID=6401_201&ID2=DO_TOPIC).

2.2 Mobility of cultural goods and services

- a) Total flows of cultural goods and services
 - Total exports trade in cultural goods in million USD
 - Total imports trade in cultural goods in million USD
 - Total exports trade in cultural services in million USD
 - Total imports trade in cultural services in million USD
- b) Translation flows
 - Total number of published translations
 - Total number of titles translated and published abroad

Sources:

- a) Customs data and balance of payments data. See also UNESCO 2009 Framework for Cultural

Sources:

- a), b) International Telecommunication Union: <http://www.itu.int/ITU-D/ict/statistics/index.html>.
- c) Internet world stats (<http://www.internetworldstats.com/stats.htm>).
- d), e), f), g) UIS Questionnaire on Media Statistics.

2.6 Economy and finance

- a) Contribution of cultural activities to Gross Domestic Product (GDP) in percentage
- b) Percentage of people employed in culture
- c) Public expenditure: government expenditure on culture
- d) Household expenditure on culture and recreation

Sources:

- a) Economic censuses, Industry surveys, Service surveys, Small establishment surveys, Household surveys, National Accounts. In national statistics departments, central banks and cultural institutions (based on International Standard Industrial Classification: ISIC Rev. 4 NAICS, ANZSCI, NACE, NAPCS).
 - b) Census, Household surveys (using Standard Classification of Occupations ISCO-08 ISIC Rev. 4).
 - c) & d) National sources, Systems of National Accounts.
- For a), b) see also UNESCO methodological note on calculating cultural employment and contribution of characteristic cultural activities to GDP.

2.7 International cooperation

- a) Official Development Assistance allocated to culture (estimated percentage of total allocable ODA)
- b) Net receipt (in USD) of Official Development Assistance allocated to culture (estimates)

Source:

DAC Statistics, Development Co-operation Directorate (DCD-DAC), OECD
<http://stats.oecd.org/qwids/>.

- (b) developing educational and training materials in multiple formats, including those online, such as books, CDs, videos, documentaries, manuals or brochures, interactive games, etc.;
- (c) inviting artists and cultural professionals in the development of such materials and to participate in the activities of schools and other educational institutions;
- (d) enhancing the capacities of teachers to raise students' awareness about the diversity of cultural expressions and use, wherever available, guides and manuals to this end;
- (e) involving adults and parent associations to suggest themes and modules for teaching about the diversity of cultural expressions in schools;
- (f) involving youth in collecting and disseminating information about the diversity of cultural expressions in their communities;
- (g) transmitting acquired experiences through the promotion of participatory educational methodologies, mentoring activities and apprenticeships.

6. *Higher education, training and research institutions* are important environments for nurturing creativity and building capacities in the field of cultural industries and development of cultural policies. In this context, Parties can support such institutions and encourage them to introduce programmes that facilitate skills development, the mobility and exchange of the next generation of cultural industry and cultural policy professionals. Parties may also consider establishing UNESCO Chairs in the field of cultural policies and industries.

Raising public awareness

7. Parties can devote resources to develop *awareness-raising tools* in multiple formats that meet the needs of diverse audiences, employ new information and communication technologies as well as non-formal means of transmitting knowledge. Artists and cultural professionals are to be invited to participate in the development of such tools.

8. Parties shall *provide support for events* that can raise public awareness and disseminate information on the diversity of cultural expressions through, for example, the organization of symposia, workshops, seminars and public fora as well as exhibitions, concerts, festivals, competitions, international days, etc. In this context, whenever possible, Parties should partner with public and private actors as well as existing civil society structures and networks.

9. The *media*

Article 19 of the Convention

